



Corrections and Community Supervision

Unusual Incident Report

January – December 2018, with Ten-Year Trends from 2009 - 2018

Introduction

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services and Community Supervision (DOCCS). Unusual incidents are serious occurrences that may impact upon or disrupt facility operations, have the potential for disrupting the Department's public image, or might arouse widespread public interest. All unusual incidents are reported by telephone to the DOCCS Communication Control Center located in Albany and entered into the computer system via terminals at correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. This system can also identify all incarcerated individuals and staff associated with incidents, along with information about them, such as whether an injury was sustained by an incarcerated individual or a staff member, whether an incarcerated individual used a weapon, or whether a staff member used force.

This report includes information on unusual incidents that occurred during the current reporting year (January – December 2018), along with comparisons to trends in unusual incidents in the past ten years (2009 - 2018).

The report only covers incidents that occurred at DOCCS correctional facilities, and does not include incidents that took place at a Parole Diversion Program (PDP), Willard Drug Treatment Campus (WDTC), or those incidents involving releasees.

Table 1. Unusual Incident Type by Year: 2009 - 2018

Incident Type	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Accident	536	424	463	534	572	574	521	534	554	572
Assault on Incarcerated Individual	603	675	666	652	767	860	915	1,135	1,225	1,165
Assault on Other	2	16	2	4	7	8	7	12	9	11
Assault on Staff	567	577	563	524	645	747	895	759	800	972
Contraband	2,351	2,494	2,452	2,546	2,959	3,612	4,748	4,745	5,234	5,337
Death	150	131	123	123	145	131	124	152	158	142
Disruption of Facility	26	17	13	7	4	10	7	11	13	19
Disruptive Behavior	376	340	365	468	521	506	567	632	951	1,277
Employee Job Action	3	0	2	1	0	0	0	0	2	1
Employee Misconduct	132	163	130	140	120	117	116	106	93	103
Escape	1	2	4	1	1	0	2	1	0	1
Escape Attempt	2	0	2	2	1	2	1	1	2	5
Fire	35	32	30	22	34	16	30	24	25	22
Mass Demonstration	0	1	1	0	0	1	0	2	1	1
Property Destroyed	10	11	14	14	3	3	4	3	8	5
Property Lost or Stolen	101	112	83	76	75	57	69	79	83	94
Self-Inflicted Injury	70	57	80	114	74	91	73	77	113	115
Sexual Misconduct	57	71	63	53	51	58	69	39	40	45
Suicide Attempt	150	117	132	145	160	182	157	187	145	149
Temp Release Related	123	129	73	36	29	28	17	23	43	32
Weapons Used by Staff	165	155	170	143	203	191	205	293	714	1,217
Other Incidents	394	496	549	487	532	540	603	971	890	828
Total	5,854	6,020	5,980	6,092	6,903	7,734	9,130	9,786	11,103	12,113

Table 1 displays the number of unusual incidents by type from 2009 through 2018. The number of unusual incidents has increased 107% from 5,854 in 2009 to 12,113 in 2018. These higher incident numbers were largely due to increases in assaults on incarcerated individuals (+93%), assaults on staff (+71%), contraband (+127%), disruptive behavior (+240%), and weapons used by staff (+638%).

Table 2. Other Unusual Incidents by Type and Year: 2009 - 2018

Incident Type	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
AED Use	58	68	49	51	67	65	81	127	119	97
Arrest of Non-Releasee/Non-Employee	0	0	0	0	1	1	1	0	0	2
Body Fluid/Human Waste- Attempted Assault	2	4	2	1	3	2	4	5	7	7
Contagious Disease	0	1	0	1	0	0	0	0	0	0
Erroneous Release	8	4	3	3	2	1	6	4	1	1
Family Reunion Visit Other	7	4	3	2	3	6	3	3	3	1
Family Reunion Visit Termination	14	13	16	13	8	5	5	11	8	11
Hunger Strike	14	8	20	26	16	24	30	26	33	26
Medical Emergency	9	5	3	2	2	9	7	1	1	0
Overall Facility Search	5	5	8	4	9	6	8	9	5	6
Pregnant Individual Shackled	0	0	0	0	0	0	0	0	1	1
Pregnant Individual- Staff in Delivery Room	0	0	0	0	0	0	0	2	0	3
Restraint Chair Use	13	1	10	4	5	7	3	6	12	5
Significant Exposure	51	24	32	31	35	38	46	49	42	56
Spit Net Use	35	57	50	50	63	65	81	85	81	108
Visitor Arrest	101	115	117	126	107	126	172	389	475	408
Visitor Limited Visual Search	50	171	222	161	200	165	144	222	74	82
Visitor Strip Search	6	3	1	2	0	1	3	4	1	1
Other	21	13	13	10	11	19	9	28	27	13
Total	394	496	549	487	532	540	603	971	890	828

Table 2 presents the specific unusual incident types that are combined together under the label of other incidents in Table 1. There were 828 other incidents in 2018, compared to 394 in 2009, an increase of 110%. The largest category among other incidents was visitor arrests. There were 408 visitor arrests in 2018, which accounted for 49% of other unusual incidents and represented a 304% increase from 2009.

Table 3. Select Incidents by Facility, January - December 2018

Correctional Facility	Assault on Incar. Individ.	Assault on Staff	Contraband	Disruptive Behavior	Weapons Used by Staff	All Other	Total Incidents
Maximum Security							
Attica	44	30	213	108	86	101	582
Auburn	91	52	318	86	103	64	714
Bedford Hills	7	33	58	8	1	42	149
Clinton	160	42	380	156	198	124	1,060
Coxsackie	11	31	122	17	15	38	234
Downstate	38	41	95	31	22	41	268
Eastern	1	3	35	3	1	28	71
Elmira	79	23	248	46	71	61	528
Five Points	65	29	177	102	112	53	538
Great Meadow	104	47	288	91	122	49	701
Green Haven	57	82	226	71	121	81	638
Shawangunk	4	3	34	3	2	90	136
Sing Sing	41	43	178	20	28	75	385
Southport	1	13	37	12	7	19	89
Sullivan	9	53	46	50	56	43	257
Upstate	3	31	52	25	11	32	154
Wende	26	26	101	23	24	31	231
Sub-total	741	582	2,608	852	980	972	6,735
Special Housing SHU200							
Cayuga SHU200	0	0	1	0	0	0	1
Collins SHU200	0	12	21	14	7	8	62
Fishkill SHU200	0	8	21	10	13	16	68
Gouverneur SHU200	0	2	13	6	4	2	27
Greene SHU200	1	4	7	5	1	3	21
Lakeview SHU200	0	1	9	1	0	7	18
Mid-State SHU200	0	5	1	3	2	2	13
Orleans SHU200	0	5	4	8	2	3	22
Sub-total	1	37	77	47	29	41	232
Residential Mental Health Treatment Unit							
Bedford Hills TBU	2	0	0	0	0	0	2
Five Points RMHU	1	26	11	17	15	6	76
Great Meadow BHU	0	37	1	15	4	11	68
Marcy RMHU	4	29	36	25	20	39	153
Sub-total	7	92	48	57	39	56	299
Regional Medical Unit							
Bedford Hills RMU	0	1	0	0	0	0	1
Coxsackie RMU	0	3	0	1	1	12	17
Walsh RMU	2	7	4	0	0	47	60
Wende RMU	0	0	1	0	0	22	23
Sub-total	2	11	5	1	1	81	101
Total Maximum Security	751	722	2,738	957	1,049	1,150	7,367

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Table 3. Select Incidents by Facility, January - December 2018 (cont.)

Correctional Facility	Assault on Incar. Indiv.	Assault on Staff	Contraband	Disruptive Behavior	Weapons Used by Staff	All Other	Total Incidents
Medium Security							
Adirondack	0	0	4	1	0	6	11
Albion	3	8	31	4	0	41	87
Altona	3	0	39	2	1	18	63
Bare Hill	24	4	103	9	2	43	185
Cape Vincent	8	8	71	5	3	14	109
Cayuga	3	3	87	3	0	29	125
Collins	11	4	116	4	0	20	155
Fishkill	7	32	116	49	52	70	326
Franklin	38	12	112	21	0	58	241
Gouverneur	7	8	97	11	3	29	155
Gowanda	10	12	80	12	1	47	162
Greene	88	16	176	24	14	50	368
Groveland	17	5	117	9	0	41	189
Hale Creek	1	4	32	4	3	8	52
Hudson	0	9	10	5	1	9	34
Livingston	13	4	57	9	2	22	107
Marcy	32	19	167	24	15	33	290
Midstate	22	26	158	53	46	63	368
Mohawk	15	6	199	15	3	31	269
Ogdensburg	3	0	34	0	0	29	66
Orleans	8	9	106	5	2	36	166
Otisville	3	1	20	3	1	19	47
Riverview	11	5	99	5	4	20	144
Taconic	0	6	15	0	0	13	34
Ulster	4	3	112	4	0	16	139
Walkkill	1	5	42	2	0	17	67
Washington	51	14	90	9	7	31	202
Watertown	1	5	33	7	1	9	56
Woodbourne	0	1	32	7	2	39	81
Wyoming	30	8	159	9	2	35	243
Sub-total	414	237	2,514	315	165	896	4,541
Regional Medical Unit							
Fishkill RMU	0	0	0	1	1	12	14
Total Medium Security	414	237	2,514	316	166	908	4,555
Shock							
Lakeview Shock	0	2	4	1	0	8	15
Moriah Shock	0	0	0	0	0	2	2
Sub-total	0	2	4	1	0	10	17
Minimum Security							
Edgecombe	0	1	9	0	0	1	11
Edgecombe Work Release	0	0	7	0	0	7	14
Fishkill Work Release	0	0	0	1	0	3	4
Hudson Ind Train	0	0	3	0	0	0	3
Hudson Work Release	0	0	7	0	0	8	15
Lakeview Male Trans	0	3	3	0	0	4	10
Lincoln	0	1	33	0	0	20	54
Queensboro	0	6	16	1	0	13	36
Rochester	0	0	3	1	1	6	11
Sub-total	0	11	81	3	1	62	158
Total Minimum Security	0	13	85	4	1	72	175
Other							
Central Office	0	0	0	0	1	15	16
Grand Total	1,165	972	5,337	1,277	1,217	2,145	12,113

The types of contraband found in DOCCS facilities in the ten-year period from 2009 to 2018 are presented in Table 4A. Between 2009 and 2018, the total number of contraband incidents increased by 127%. Between 2017 and 2018, the number of contraband incidents increased by 2%. Aside from a few incidents, synthetic drugs were not reported until 2013. In 2018, synthetic drugs accounted for 42% of the drug contraband incidents, an increase from 2017, when synthetic drugs comprised 38% of the drug contraband incidents.

Between 2009 and 2018, besides synthetic drugs, the largest contraband increases were among prescription drugs (+554%) and cutting/stabbing weapons (+76%). During that time, cell phone recoveries decreased by 43%.

Table 4B displays the type of contraband recovered at each DOCCS facility during 2018.

Table 4A. Contraband Incidents by Type: 2009 - 2018

Contraband Type	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Alcohol										
Commercial Alcohol	8	9	7	6	14	13	9	5	11	10
Homemade Alcohol	2	1	1	0	4	5	0	3	5	4
Sub-total	10	10	8	6	18	18	9	8	16	14
Drug										
Cocaine	19	24	26	15	27	25	42	48	54	31
Heroin	73	52	51	47	55	66	82	78	58	52
Illegal Drug	0	0	0	2	4	0	0	0	0	0
Marijuana	515	592	574	547	524	475	523	672	662	552
Prescription Drug	148	154	199	238	343	416	606	797	945	968
Synthetic Drug	0	1	0	2	248	674	1,104	838	1,105	1,223
Other Drug	36	49	59	147	71	61	98	83	102	103
Sub-total	791	872	909	998	1,272	1,717	2,455	2,516	2,926	2,929
Electronic Device										
Cell Phone	93	85	66	71	58	59	71	71	55	53
Media Storage: CD/DVD/USB	0	0	0	26	26	16	27	22	26	23
Other Electronic Device	29	45	42	33	15	29	53	41	35	33
Sub-total	122	130	108	130	99	104	151	134	116	109
Weapon- Cutting/Stabbing										
Can Lid	190	198	199	176	230	237	267	216	136	149
Cutting Instrument	186	175	212	188	206	334	476	456	512	511
Hardback Razorblade	8	5	8	9	8	7	6	11	3	4
Ice Pick	158	193	156	180	242	261	323	279	259	255
Manufactured Knife	24	16	14	21	20	17	32	32	16	26
Razor Blade	275	276	224	256	256	269	321	354	362	344
Scalpel/Exacto Blade	0	63	80	97	68	106	140	193	242	258
Shank	220	210	204	204	227	244	210	204	263	262
Toothbrush	70	72	76	77	96	90	97	100	142	232
Utility Razorblade	38	22	18	9	25	19	20	19	24	20
Sub-total	1,169	1,230	1,191	1,217	1,378	1,584	1,892	1,864	1,959	2,061
Weapon- Other										
Ammunition	11	12	6	13	6	6	9	9	6	9
Explosive	2	0	0	0	0	0	0	1	0	0
Manufactured Gun	2	1	1	1	0	0	1	0	1	1
Weighted Cloth	54	44	26	32	30	20	38	24	22	21
Zip Gun	0	1	0	0	0	0	0	0	0	0
Other Weapons	54	54	55	41	54	59	68	56	59	70
Sub-total	123	112	88	87	90	85	116	90	88	101
Other Contraband										
Cash	71	76	86	70	67	59	79	81	84	62
Hypodermic Needle/Syringe	9	6	9	6	8	12	11	17	12	14
Other	56	58	53	32	27	33	35	35	33	47
Sub-total	136	140	148	108	102	104	125	133	129	123
Grand Total	2,351	2,494	2,452	2,546	2,959	3,612	4,748	4,745	5,234	5,337

Table 4B. Number of Contraband Incidents by Facility: January - December 2018

Facility and Security Level	Alcohol	Drug	Electronic Device	Weapon Cut/Stab	Weapon Other	Other Contraband	Total
Maximum Security							
Attica	1	62	2	138	4	6	213
Auburn	2	118	7	184	1	6	318
Bedford Hills	1	47	3	5	1	1	58
Clinton	0	155	0	217	5	3	380
Coxsackie	0	72	2	45	2	1	122
Downstate	1	43	0	42	3	6	95
Eastern	0	21	6	7	0	1	35
Elmira	1	71	1	166	5	4	248
Five Points	0	97	0	78	0	2	177
Great Meadow	0	121	0	163	0	4	288
Green Haven	1	97	5	115	0	8	226
Shawangunk	0	26	0	5	1	2	34
Sing Sing	0	63	14	93	0	8	178
Southport	0	26	1	7	1	2	37
Sullivan	0	19	0	26	0	1	46
Upstate	0	33	0	18	0	1	52
Wende	1	43	2	49	3	3	101
Sub-total	8	1,114	43	1,358	26	59	2,608
SHU 200							
Cayuga SHU200	0	1	0	0	0	0	1
Collins SHU200	0	18	0	3	0	0	21
Fishkill SHU200	0	16	1	3	0	1	21
Gouverneur SHU200	0	12	0	1	0	0	13
Greene SHU200	0	3	0	4	0	0	7
Lakeview SHU200	0	6	0	3	0	0	9
Mid-State SHU200	0	0	0	1	0	0	1
Orleans SHU200	0	4	0	0	0	0	4
Sub-total	0	60	1	15	0	1	77
RMHTU							
Five Points RMHU	0	2	0	6	1	2	11
Great Meadow BHU	0	0	0	0	1	0	1
Marcy RMHU	0	9	0	16	6	5	36
Sub-total	0	11	0	22	8	7	48
Regional Medical Unit							
Walsh RMU	0	4	0	0	0	0	4
Wende RMU	0	0	0	1	0	0	1
Sub-total	0	4	0	1	0	0	5
Total Maximum Security	8	1,189	44	1,396	34	67	2,738

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Table 4B. Number of Contraband Incidents by Facility: January - December 2018 (cont.)

Facility and Security Level	Alcohol	Drug	Electronic Device	Weapon Cut/Stab	Weapon Other	Other Contraband	Total
Medium Security							
Adirondack	1	2	1	0	0	0	4
Albion	0	24	2	1	3	1	31
Altona	0	29	1	9	0	0	39
Bare Hill	0	70	0	31	2	0	103
Cape Vincent	1	50	0	18	1	1	71
Cayuga	0	65	0	19	1	2	87
Collins	0	81	2	29	2	2	116
Fishkill	0	80	6	24	1	5	116
Franklin	0	71	0	38	2	1	112
Gouverneur	0	64	0	32	0	1	97
Gowanda	0	64	5	10	0	1	80
Greene	0	84	9	74	7	2	176
Groveland	0	91	1	21	2	2	117
Hale Creek	0	30	0	0	1	1	32
Hudson	0	4	1	3	2	0	10
Livingston	1	34	0	19	3	0	57
Marcy	1	73	1	78	10	4	167
Midstate	0	92	5	52	5	4	158
Mohawk	0	150	0	42	3	4	199
Ogdensburg	0	28	4	1	1	0	34
Orleans	0	82	4	13	4	3	106
Otisville	1	11	2	5	1	0	20
Riverview	1	69	1	20	4	4	99
Taconic	0	13	1	1	0	0	15
Ulster	0	75	1	32	0	4	112
Walkkill	0	33	2	5	1	1	42
Washington	0	51	1	33	4	1	90
Watertown	0	28	0	5	0	0	33
Woodbourne	0	20	2	7	0	3	32
Wyoming	0	112	0	39	6	2	159
Sub-total	6	1,680	52	661	66	49	2,514
Shock							
Lakeview Shock	0	4	0	0	0	0	4
Sub-total	0	4	0	0	0	0	4
Minimum Security							
Edgecombe	0	9	0	0	0	0	9
Edgecombe Work Release	0	1	6	0	0	0	7
Hudson Ind Train	0	2	0	0	0	1	3
Hudson Work Release	0	4	0	0	0	3	7
Lakeview Male Trans	0	3	0	0	0	0	3
Lincoln	0	23	5	3	0	2	33
Queensboro	0	11	2	1	1	1	16
Rochester	0	3	0	0	0	0	3
Sub-total	0	56	13	4	1	7	81
Grand Total	14	2,929	109	2,061	101	123	5,337

Table 5. Unusual Incident Type by Location: January - December 2018

Incident Type	Admin Areas	Assembly or Gym	Hospital	Housing Unit	Mental Health Unit	Mess Hall	Outside Facility	Shop or Class	SHU	Visit/ Process	Walkway or Corridor	Yard	Other	Total
Accident	5	71	27	140	3	21	73	19	20	0	43	110	40	572
Assault on Incar. Indiv.	0	28	4	475	16	44	6	16	11	0	181	362	22	1,165
Assault on Other	0	0	0	0	0	0	4	0	0	7	0	0	0	11
Assault on Staff	5	11	59	306	157	23	19	28	212	10	94	17	31	972
Contraband	409	69	296	2,099	88	96	156	104	401	403	487	403	326	5,337
Death	0	2	51	29	2	0	57	0	1	0	0	0	0	142
Disruption of Facility	7	0	1	1	0	2	3	0	0	2	0	0	3	19
Disruptive Behavior	2	20	57	432	141	102	5	34	191	7	87	167	32	1,277
Employee Job Action	1	0	0	0	0	0	0	0	0	0	0	0	0	1
Employee Misconduct	9	0	0	0	0	0	92	0	0	0	0	0	2	103
Escape	0	0	0	1	0	0	0	0	0	0	0	0	0	1
Escape Attempt	0	0	0	0	0	0	5	0	0	0	0	0	0	5
Fire	0	0	0	13	2	0	2	2	2	0	0	0	1	22
Mass Demonstration	0	0	0	0	0	1	0	0	0	0	0	0	0	1
Property Destroyed	2	0	1	0	1	0	0	0	0	0	0	0	1	5
Property Lost or Stolen	17	0	15	3	0	0	23	7	1	0	0	1	27	94
Self-Inflicted Injury	0	0	10	33	44	0	4	1	21	0	1	0	1	115
Sexual Misconduct	1	1	0	10	0	1	1	2	0	23	1	2	3	45
Suicide Attempt	0	0	5	50	22	0	0	0	71	0	0	0	1	149
Temp Release Related	0	0	0	0	0	0	32	0	0	0	0	0	0	32
Weapons Used by Staff	5	18	32	374	114	119	6	34	120	5	119	240	31	1,217
Other Incidents	182	6	90	70	32	4	107	6	55	221	13	8	34	828
Total	645	226	648	4,036	622	413	595	253	1,106	678	1,026	1,310	555	12,113
Percent	5%	2%	5%	33%	5%	3%	5%	2%	9%	6%	8%	11%	5%	100%

* Mental Health Units include: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), Therapeutic Behavioral Unit (TBU), Intermediate Care Program (ICP) and OMH Residential Crisis Treatment Program Units (RCTP, also refers to OMH Satellite Units).

Table 5 displays incident type by location for 2018. For most incident types, the most frequent incident location was housing units. This was the case for assaults on incarcerated individuals, assaults on staff, contraband, disruptive behavior, and several other incident types.

Table 6. Unusual Incident Type by Incarcerated Individual Weapon Use: January - December 2018

Incident Type	No Weapon Used	Body as Weapon	Clubs	Cut/Stab Weapon	Garrotte	Human Waste/ Fluids	Metal Objects	Other	Unknown	Total Individuals
Accident	496	0	0	0	0	0	0	0	0	496
Assault on Incar. Individ.	1,034	208	68	1,065	1	1	28	48	25	2,478
Assault on Other	1	10	0	0	0	0	1	0	0	12
Assault on Staff	104	686	27	4	0	85	7	160	0	1,073
Contraband	5,473	4	0	15	0	0	0	0	0	5,492
Death	149	0	0	0	13	0	0	0	0	162
Disruption of Facility	10	0	0	0	0	0	0	0	0	10
Disruptive Behavior	808	1,707	2	11	1	0	0	3	0	2,532
Employee Misconduct	11	0	0	0	0	0	0	0	0	11
Escape	1	0	0	0	0	0	0	0	0	1
Escape Attempt	4	1	0	0	0	0	0	0	0	5
Fire	56	0	0	0	0	0	0	0	0	56
Mass Demonstration	24	0	0	0	0	0	0	0	0	24
Property Destroyed	2	0	0	0	0	0	0	0	0	2
Property Lost or Stolen	58	0	0	0	0	0	0	0	0	58
Self-Inflicted Injury	34	3	0	50	19	0	0	7	5	118
Sexual Misconduct	56	0	0	0	0	0	0	0	0	56
Suicide Attempt	28	0	0	26	103	0	0	3	1	161
Temp Release Related	33	0	0	0	0	0	0	0	0	33
Weapons Used by Staff	1,903	0	0	0	0	0	0	0	0	1,903
Other Incidents	838	3	0	0	0	10	0	3	0	854
Total	11,123	2,622	97	1,171	137	96	36	224	31	15,537
Percent	72%	17%	1%	8%	1%	1%	0%	1%	0%	100%

Table 6 details the weapons used by incarcerated individuals in unusual incidents. For DOCCS reporting purposes, use of body, such as fists or kicks, is considered as a type of weapon in classifying incidents. Previous tables in this report were incident-based. This section of the report focuses all incarcerated individuals involved in incidents. There were 15,537 instances where incarcerated individuals were associated with unusual incidents in 2018; these are not 15,537 unique incarcerated individuals. Many incarcerated individuals were associated with multiple incidents; the numbers presented here reflect each instance where an incarcerated individual is connected to an incident.

No weapons were used by 11,123 (72%) of the incarcerated individuals associated with incidents. Thus, there were 4,414 incarcerated individuals who did use weapons. Of those who used a weapon, 2,622 (59%) used a body attack such as a fist or kick. Of those using weapons, 1,171 incarcerated individuals (27%) used cutting or stabbing weapons.

**Table 7. Unusual Incident Type by Most Serious Type of Staff Use of Force:
January - December 2018**

Incident Type	No Force	Body Hold	Mechanical Restraint	Shield	Strike	Chemical Agents	Baton	Firearm	Other	Total
Accident	572	0	0	0	0	0	0	0	0	572
Assault on Incar. Indiv.	942	53	5	0	0	153	12	0	0	1,165
Assault On Other	8	1	0	0	0	1	0	0	1	11
Assault On Staff	328	364	37	9	69	144	21	0	0	972
Contraband	5,231	91	9	1	5	0	0	0	0	5,337
Death	141	1	0	0	0	0	0	0	0	142
Disruption of Facility	19	0	0	0	0	0	0	0	0	19
Disruptive Behavior	263	107	20	81	2	770	28	0	6	1,277
Employee Job Action	1	0	0	0	0	0	0	0	0	1
Employee Misconduct	103	0	0	0	0	0	0	0	0	103
Escape	1	0	0	0	0	0	0	0	0	1
Escape Attempt	1	3	0	0	0	1	0	0	0	5
Fire	19	2	1	0	0	0	0	0	0	22
Mass Demonstration	1	0	0	0	0	0	0	0	0	1
Property Destruction	5	0	0	0	0	0	0	0	0	5
Property Lost Stolen	94	0	0	0	0	0	0	0	0	94
Self-Injury	109	4	1	0	0	1	0	0	0	115
Sexual Misconduct	44	1	0	0	0	0	0	0	0	45
Suicide Attempt	144	3	1	1	0	0	0	0	0	149
Temporary Release	32	0	0	0	0	0	0	0	0	32
Weapons Used by Staff	1,196	1	0	0	0	19	1	0	0	1,217
Other Incidents	775	35	5	1	3	0	0	0	9	828
TOTAL	10,029	666	79	93	79	1,089	62	0	16	12,113
Percent	83%	5%	1%	1%	1%	9%	1%	0%	0%	100%

Note: This table is based upon a count of the total number of incidents and the most serious type of force used in each incident and is not a count of all the times that staff used force.

Tables 7 and 8 detail the type of staff force that was used in unusual incidents. If more than one type of staff force was used in an incident, the most serious type was selected. Table 7 presents different types of staff force progressively from less serious to more serious, left to right. Staff force was used in 2,084 (17%) incidents in 2018.

Table 7 displays the most serious type of staff force used in each of the incident types that occurred in 2018. No staff force was used in 10,029 (83%) incidents. Of the 2,084 incidents where force was used, 1,089 (52%) involved the use of chemical agents.

Table 8 displays staff use of force for the ten-year period from 2009 to 2018. In 2009, there were 5,854 unusual incidents, with staff force used in 805 (14%) of the incidents. In 2018, the number of incidents where staff force was used increased to 2,084, or 17% of all incidents. Between 2017 and 2018, incidents with staff use of force increased by 35%, from 1,541 to 2,084, while the proportion of incidents with staff use of force increased from 14% to 17%. Chemical agent use increased by 85%, from 590 in 2017 to 1,089 in 2018.

Table 8. Staff Use of Force in Unusual Incidents by Security Level: 2009 - 2018

Type of Force	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Maximum Security										
No Force Used	3,027	3,290	3,292	3,193	3,505	3,554	3,939	4,424	5,302	5,705
Body Hold	365	396	375	345	367	378	415	371	394	466
Mechanic Restraint	130	106	75	77	70	69	88	56	61	58
Shield	27	33	33	48	50	53	59	70	82	86
Strike	0	1	51	87	120	155	165	72	57	55
Chemical Agents	52	44	51	53	60	51	49	180	548	929
Baton	94	87	107	85	126	120	133	83	66	58
Firearm	0	0	1	0	1	2	6	2	4	0
Other	12	13	10	7	17	19	15	24	25	10
Total	3,707	3,970	3,995	3,895	4,316	4,401	4,869	5,282	6,539	7,367
Medium Security										
No Force Used	1,781	1,636	1,679	1,906	2,261	2,902	3,821	4,148	4,102	4,143
Body Hold	77	96	94	110	128	167	225	180	179	192
Mechanic Restraint	21	30	18	18	24	37	39	24	31	21
Shield	3	3	2	4	3	4	2	3	1	7
Strike	0	0	4	9	21	20	30	17	20	23
Chemical Agents	4	7	0	2	1	1	1	7	42	159
Baton	1	2	3	1	3	12	14	6	5	4
Other	3	2	1	0	7	3	5	3	2	6
Total	1,890	1,776	1,801	2,050	2,448	3,146	4,137	4,388	4,382	4,555
Minimum Security										
No Force Used	229	256	170	128	121	156	109	96	142	165
Body Hold	11	8	8	1	10	16	12	5	16	8
Mechanic Restraint	5	2	2	0	2	1	1	1	1	0
Strike	0	0	0	0	1	2	2	2	3	1
Chemical Agents	0	0	0	0	0	0	0	0	0	1
Baton	0	0	0	0	0	0	0	0	2	0
Firearm	0	0	0	0	0	0	0	0	1	0
Total	245	266	180	129	134	175	124	104	165	175
Other										
No Force Used	12	8	4	18	5	11	0	12	16	16
Strike	0	0	0	0	0	1	0	0	1	0
Sub-total	12	8	4	18	5	12	0	12	17	16
All Security Levels										
No Force Used	5,049	5,190	5,145	5,245	5,892	6,623	7,869	8,680	9,562	10,029
Body Hold	453	500	477	456	505	561	652	556	589	666
Mechanic Restraint	156	138	95	95	96	107	128	81	93	79
Shield	30	36	35	52	53	57	61	73	83	93
Strike	0	1	55	96	142	178	197	91	81	79
Chemical Agents	56	51	51	55	61	52	50	187	590	1,089
Baton	95	89	110	86	129	132	147	89	73	62
Firearm	0	0	1	0	1	2	6	2	5	0
Other	15	15	11	7	24	22	20	27	27	16
Grand Total	5,854	6,020	5,980	6,092	6,903	7,734	9,130	9,786	11,103	12,113

Note: This table is based upon a count of the total number of incidents and the most serious type of force used in each incident and is not a count of all the times that staff used force.

Table 9. Unusual Incident Type by Degree of Staff Injury: January - December 2018

Incident Type	No Injury		Minor		Moderate		Serious		Severe		Total Staff
	#	%	#	%	#	%	#	%	#	%	
Accident	2,080	95.6%	13	0.6%	25	1.1%	55	2.5%	3	0.1%	2,176
Assault on Incar. Individ.	4,630	98.1%	87	1.8%	4	0.1%	0	0.0%	0	0.0%	4,721
Assault on Other	63	94.0%	4	6.0%	0	0.0%	0	0.0%	0	0.0%	67
Assault on Staff	4,079	75.3%	1,270	23.4%	46	0.8%	22	0.4%	0	0.0%	5,417
Contraband	20,374	99.4%	112	0.5%	3	0.0%	1	0.0%	0	0.0%	20,490
Death	1,557	99.7%	2	0.1%	0	0.0%	1	0.1%	1	0.1%	1,561
Disruption of Facility	148	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	148
Disruptive Behavior	6,976	93.8%	437	5.9%	10	0.1%	11	0.1%	0	0.0%	7,434
Employee Job Action	18	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	18
Employee Misconduct	549	99.6%	1	0.2%	1	0.2%	0	0.0%	0	0.0%	551
Escape	10	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	10
Escape Attempt	40	90.9%	4	9.1%	0	0.0%	0	0.0%	0	0.0%	44
Fire	172	75.4%	52	22.8%	4	1.8%	0	0.0%	0	0.0%	228
Mass Demonstration	13	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	13
Property Destroyed	26	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	26
Property Lost or Stolen	427	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	427
Self-Inflicted Injury	547	99.5%	3	0.5%	0	0.0%	0	0.0%	0	0.0%	550
Sexual Misconduct	220	99.5%	1	0.5%	0	0.0%	0	0.0%	0	0.0%	221
Suicide Attempt	874	99.3%	6	0.7%	0	0.0%	0	0.0%	0	0.0%	880
Temp Release Related	79	100.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	79
Weapons Used by Staff	3,127	99.9%	4	0.1%	0	0.0%	0	0.0%	0	0.0%	3,131
Other Incidents	4,503	98.3%	41	0.9%	29	0.6%	7	0.2%	1	0.0%	4,581
Total	50,512	95.7%	2,037	3.9%	122	0.2%	97	0.2%	5	0.0%	52,773

Table 9 details the injuries to DOCCS staff members, including both the number of staff members injured and the extent of their injuries. The previous tables in this report have been incident-based; this section and the one that follows are individual-based. Each number here represents a staff member who was associated with an unusual incident. Staff members can be associated with multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of staff members who were associated with an incident or incident category.

The majority of staff involved in incidents (96%) were not injured. Assaults on staff had the highest number of staff injuries (1,338). Of those 1,338 injuries, 95% (1,270) resulted in only minor injuries. Accidents had the highest number of serious and severe injuries (58) of any incident type.

Table 10. Unusual Incident Type by Degree of Incarcerated Individual Injury: January - December 2018

Incident Type	No Injury	Minor	Moderate	Serious	Severe	Total Individuals
Accident	62	18	99	315	2	496
Assault on Incar. Individ.	913	1,168	340	55	2	2,478
Assault on Other	12	0	0	0	0	12
Assault on Staff	654	403	6	9	1	1,073
Contraband	5,393	93	4	2	0	5,492
Death	156	2	0	0	4	162
Disruption of Facility	10	0	0	0	0	10
Disruptive Behavior	1,450	827	60	194	1	2,532
Employee Misconduct	11	0	0	0	0	11
Escape	1	0	0	0	0	1
Escape Attempt	3	2	0	0	0	5
Fire	20	36	0	0	0	56
Mass Demonstration	24	0	0	0	0	24
Property Destroyed	2	0	0	0	0	2
Property Lost or Stolen	58	0	0	0	0	58
Self-Inflicted Injury	25	25	61	7	0	118
Sexual Misconduct	56	0	0	0	0	56
Suicide Attempt	54	76	24	6	1	161
Temp Release Related	33	0	0	0	0	33
Weapons Used by Staff	1,900	3	0	0	0	1,903
Other Incidents	835	16	0	0	3	854
Total	11,672	2,669	594	588	14	15,537
Percent	75%	17%	4%	4%	0%	100%

Table 10 details the injuries to DOCCS incarcerated individuals, including the number of incarcerated individuals injured and the extent of their injuries. The previous tables in this report have been incident-based; this section is individual-based. Each number here represents an incarcerated individual who was associated with an unusual incident. Incarcerated individuals can be attached to multiple incidents and be counted more than once. This means that instead of the total column representing the total number of incidents, it now represents the total number of incarcerated individuals who were involved in an incident or incident category. Most incarcerated individuals (75%) involved in an unusual incident during 2018 had no injuries. Assaults on incarcerated individuals had the highest number of incarcerated individual injuries (1,565), while accidents had the highest number of serious and severe injuries (317).

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